

REQUEST FOR PROPOSALS

Procurement Number:	EURASIA2020U24o
Open Date:	November 30, 2020
Questions Deadline:	December 4, 2020
Closing Deadline:	December 9, 2020
Geographical Area Restrictions:	935
Point of Contact:	Vitaliy Sharlai (vsharlai@iri.org)

Background

The International Republican Institute (IRI) is a nonprofit, nonpartisan, organization dedicated to advancing freedom and democracy worldwide. Since 1983, IRI has worked to develop democratic institutions and ideals, carrying out a variety of international programs to promote freedom, self-government and the rule of law worldwide. IRI provides technical assistance in the areas of political party strengthening, developing civic institutions and open elections, promoting democratic governance and advancing the rule of law.

Since 1994, IRI has worked to develop democratic institutions and ideals in Ukraine, carrying out a variety of local programs to promote freedom, self-government and the rule of law. IRI provides technical assistance in the areas of political party strengthening, developing civic institutions and open elections, promoting democratic governance and advancing the rule of law. IRI plans to conduct sixteen focus group that will explore the post-election environment in four cities and citizen perceptions of public services and decentralization reforms. These FGD series will be used to incorporate input from target beneficiary groups to develop IRI's questionnaires and identify gaps in IRI's programming and opportunities for further activities by exploring perceptions of decentralization reforms, civic engagement, and the responsiveness of local governments.

Period of Performance

December 15, 2020 – November 30, 2021, with the option to extend for a total duration of up to 5 years up in four one-year increments.

Statement of Work

IRI needs to:

- 1. Understand how Ukrainian youth (18-35) view local governance in their cities, pathways for citizen engagement, and citizen perceptions of public services.** Among 18 to 35-year-old Ukrainians in four cities, how do participants describe their views of local governments following Ukraine's local elections? What ideas do they have to strengthen youth and community engagement? How do youth view older

Ukrainians levels of engagement and differ in their perceptions of the importance of civic engagement? What challenges do they face and what are the causes of these challenges? What do they think can be done to address the problems? What groups and institutions could help to address these problems? How do they assess the efforts made to do so? What sources do these different age groups use to learn about and stay abreast of local issues and national issues and through what media outlets? What are the key public services that 18-35-year-old participants identify as needing improvement? How do these focus groups view government institutions?

2. **Understand how middle-aged Ukrainians (36-50) view local governance in their cities, pathways for citizen engagement, and citizen perceptions of public services.** Among 36 to 50-year-old Ukrainians in four cities how do participants describe their views of local governments following Ukraine's local elections? What are their key concerns? What ideas do they have to strengthen youth and community engagement? How do these 36-50-year-old participants view the engagement of younger Ukrainians? How do 36-50-year-old participants differ in their perceptions of the importance of civic engagement? What barriers to civic engagement do 36-50 years face? What do they think can be done to address the challenges? What groups and institutions could help to address these challenges? How do they assess the efforts made groups and institutions aiming to facilitate increased citizen participation in local governance? What sources do these different age groups use to learn about and stay abreast of local issues and national issues and through what media outlets? What are the key public services that these 36-50-year-old participants identify as needing improvement? How do these focus groups view government institutions?
3. **Understand how political party and civic activists view Ukraine's ongoing decentralization and opportunities for increased political engagement among fellow citizens.** In what ways do citizens engaged in political parties and Civil Society Organizations (CSOs) see Ukraine's decentralization processes increasing citizen engagement at the local level? How are decentralization reforms affecting the provision of citizen services? How can parties and CSOs encourage citizens engagement in participatory government functions? What do these informed political party members and civil society representatives believe to be the biggest points of citizen frustration with public services? What role does the media and social media play in engaging citizens? What do political parties and CSOs identify as the biggest challenges facing local governance?
4. **Understand how local government officials (LGOs) and government service providers view citizen services, engagement, and decentralization processes.** In what ways do LGOs see decentralization processes increasing citizen engagement at the local level? What steps are local governments taking to increase citizen participation and engagement in local government processes? What steps are local governments taking to improve citizen services? How do local government officials view the needs of youth and older age groups in their cities? What are the deficits of knowledge that would benefit newly elected local governments in Ukraine? What can local governments do to increase citizen engagement? What can local governments do to improve public services? What models are local government officials and government service providers using to design their programs? What do LGOs believe nongovernment organizations can do to improve public services? What role does the

media and social media play in engaging citizens? What do LGOs identify as the biggest challenges facing local governance?

METHODOLOGY

The questions above are best addressed by a focus group study. Sixteen groups in a total of four cities, each lasting approximately 90-120 minutes and attended by 8-10 participants (6-8 participants if conducted virtually), should take place. The four cities (one in the north, one in the south, one in the east, and one in the west) will be distributed across Ukraine and be decided in consultation with IRI.

Youth Focus Groups (18-35)

All participants should be:

- 18 to 35 years old males and females
- Must be recruited from different neighborhoods within the research location cities
- Completed secondary education
- Focus groups should not include youth participants who are actively engaged in a political party, youth group, or non-government organization
- Participants in the same focus group session may not know one another.
- No participants may have participated in a focus group or in-depth interview study in the past 12 months.
- If the groups are conducted virtually, the offeror needs to ensure that participants have access to the discussion platform (e.g. Zoom) and to a reliable high-speed internet connection. Potential participants who meet other recruitment criteria but lack the means to participate in an online FGD must be accommodated.

Focus Groups Middle-aged Ukrainians (36-50)

All participants should be:

- 36 to 50 years old males and females
- Must be recruited from different neighborhoods within the research location cities
- Completed secondary education
- Focus groups should not include youth participants who are actively engaged in a political party, youth group, or non-government organization
- Participants in the same focus group session may not know one another.
- No participants may have participated in a focus group or in-depth interview study in the past 12 months.

Focus Groups (for political party and civic activists)

All participants should be:

- 18 years or older, males and females
- Must be recruited from different neighborhoods and organizations within the research location cities
- Completed secondary education
- Participants in the same focus group session may not know one another.
- No participants may have participated in a focus group or in-depth interview study in the past 12 months.
- Members of the focus group should be active in political party work or nongovernmental work

In-Depth Interviews or Focus Group Interviews (LGOs, including Local Government Service Providers and leadership of Center for Administrative Services)'

All participants should be:

- 18 years or older, males and females
- Must be recruited from different neighborhoods within the research location cities
- Completed secondary education
- Participants in the same focus group session may not know one another.
- Participants should not have common acquaintances
- No participants may have participated in a focus group or in-depth interview study in the past 12 months.
- Members of the focus group should be former or current local government officials or local government service providers.
- Offeror may propose to conduct 3-4 In-Depth Interviews (IDIs) per city instead if this would lead to a more open discussion with LGOs. The IDI would use the same discussion guide as the FGDs.

Focus group and IDI composition:

- Among each set of sessions in the same city, all groups shall be made up of a roughly equal division of men and women. In each city four focus group sessions will be held- One group shall be made up of youth (18-35), one group shall be made up of adults (36-50), one group should be made up of Ukrainian citizens who are part of an NGO, engaged in a political party or active in local politics in some other way such as working to improve local governance or campaigning around some local issue. This group should include political party members. The group focused on LGOs and service providers should be made up of LGOs and individuals involved in the administration of services through a Center for Administrative Services, law enforcement, or other aspects of public service provision as measured by IRI's Nationwide Municipal Survey.

GENERAL RESPONSIBILITIES OF THE OFFEROR

IRI is cognizant of challenges associated with the Coronavirus. IRI is open to the offeror's recommendations in the proposal to mitigate risks. IRI will accept participant recruitment by phone/online, and online FGDs as long as the bidder is able to demonstrate that online fieldwork has been carefully planned for success.

Offeror will be responsible for managing all logistical aspects of the project above and should engage local support staff as necessary to complete the following:

- **Screener.** IRI will provide a draft screening questionnaire within two weeks of contract award based on the specifications above. The Offeror will review offer edits within three working days of receipt. Following IRI approval, the Offeror will translate the screener into Ukrainian and Russian. IRI reserves the right to review the translation prior to recruitment launch.
- **Recruiting participants.** Offeror will recruit all participants according to the screening criteria listed above. At least one week prior to the start of the first focus group session, the Offeror will provide a brief description of the composition of the groups in terms of age, gender, occupation, etc. Offeror should recruit 8-10

participants for each group (6-8 for online FGDs) as well as 2-3 alternates in case any of the original participants are unable to participate. All participants will be required to give written informed consent to participate in this study. IRI will provide draft informed consent language which may be adjusted by the bidder in order to conform to any specific legal requirements in the research country.

- **Discussion guide.** IRI will provide the draft discussion within four weeks of contract award. Offeror will be welcome to offer suggestions for improvement and is expected to format and translate the discussion guide into Ukrainian and Russian. IRI reserves the right to review the translation(s.) At least one week prior to the first planned session, the discussion guide will be piloted with a group of 8-10 pilot participants who fit the recruitment criteria. This pilot will be moderated by the same moderator who will moderate the actual groups. The pilot session(s) will be video (preferred) or audio recorded and the recording will be provided to IRI. The Offeror will prepare a 1-2-page pilot report, based on a template provided by IRI. Once IRI has reviewed the template, some modification of the discussion guide may be required.
- **Focus group moderator.** Offeror will provide a trained and experienced moderator for groups in each city. The moderators should familiarize him/herself with the topic of discussion prior to the groups.
- **Observation.** The Offeror will provide an opportunity for IRI staff to observe the focus group discussions in person (COVID-19 restrictions allowing) or remotely.
- **Recording of the audio of** focus group discussions and/or video record all aspects of research necessary for full and accurate data collection, transcript generation and inclusion of illustrative samples in the final analytical report. Offeror will film focus groups and film should be high quality with clear sound. If participants do not consent to videotaping, audio recordings are acceptable.
- **Written summary of focus group discussions.** Offeror will provide a 2-3-page written summary of the focus group discussions in idiomatic English within one week after the final focus group session. A template will be provided by IRI.
- **Transcripts.** The Offeror will provide IRI with full verbatim transcripts in English of each group that will identify each participant speaker by number or first name to link each comment to the participant's gender, exact age, education level, city and occupation.
- **Written analytical report.** Offeror will analyze all discussions and write and edit final analytic report (see Deliverables) for review, editing and acceptance by IRI within four weeks of the final focus group session. IRI will provide a report template. The report is expected to synthesize findings across all participants and all discussion sessions, while noting to any major differences of opinions between participants and sessions. The report must present actual analysis (i.e. not mere description) and illustrative quotes from participants.

DELIVERABLES

- Finalized discussion guide (incl translation where applicable)
- Proposed Participant Profile
- Written summary as specified above.
- Full transcripts of all discussions as described above.
- Video/Audio recordings of the discussions, with clear audio. Recording should be delivered to IRI electronically (for example via a file transfer service) or delivered to the local IRI office.

- Analytic report of 20-30 slides in MS PowerPoint and two-page brief that summarizes the key findings of the 16 focus groups and four analytical reports (20-30 slides) that summarize each target group in the four cities. Both in idiomatic English and Ukrainian. The 20-30 slides should include a one or two slide executive summary, addressing the research needs outlined above. The two-page brief should be concise and visually attractive.

TIMING

The focus group discussions should be held December 15- January 12; the exact timing needs to be determined in close consultation with IRI.

PROPOSAL CONTENT

Proposals should address the following points:

- List qualitative projects you conducted with similar specifications in this market
- Name and explain the role of subcontractors (if any)
- State if you are to comply with all requirements listed on this SOW without alterations. If any proposed alterations, explain.
- Describe recruitment plan
- Describe fieldwork quality assurance measures (if any)
- Describe analysis plan
- Provide proposed moderator(s) CV/Resume
- Describe anticipated challenges if any
- Explain if you would hold FGDs or IDIs with local government officials and why
- Explain if you would hold in person or online FGDs and why
- Explain the potential impact of the Coronavirus on your firm's operations, employees, research participants, and how you plan to mitigate risks

Technical Proposals

All proposals submitted to IRI must include:

1. Information addressing Bidder's experience in providing each of the services identified in the above Statement of Work and Bidder's proposed specific approach for providing those services to IRI under this contract, including sufficient information to determine a clear definition of services as it relates to other providers that may be involved.
2. The name, address, and telephone and facsimile numbers of the Bidder (and electronic address if available). If the Bidder is a firm, name(s) should be provided for individuals authorized to conduct business on behalf of the firm.
3. Bidders may provide a list of up to three references for work performed of a similar nature during the last three years, along with contact details. IRI may contact the references to evaluate past performance.
4. If the Bidder is a U.S. organization/resident, or a foreign organization/resident which has income effectively connected with the conduct of activities in the U.S. or has an office or a place of business or a fiscal paying agent in the U.S., the information submitted must contain Bidder's Taxpayer Identification Number.
5. Proposals will not exceed 15 pages (not including cover page).
6. Bidders must have at least 5 years' experience conducting qualitative research through focus groups in Ukraine.
7. Bidders must be fluent in Russian and Ukrainian.

Price Proposals

Bidders must propose a firm-fixed Unit Price for each of the deliverables identified above and for each of six focus group discussions. Bidders can recommend additional deliverables. The Bidder's pricing must be valid for at least 60 (sixty) calendar days after the due date for proposal submission. The proposed price should include all necessary expenses for conducting the focus group discussions, including but not limited to selection and screening of participants, premises rent, transportation and accommodations for all participants, and reporting and briefing of survey research. The proposed Unit Price should be fixed and inclusive of all costs to perform, including inspection services, transportation, taxes, import duties (if any), and other levies. To the extent that a bidder proposed to include any pricing not reflected in the table below, such pricing must be fully described in the proposal. Also, the bidder shall mention whether the prices include VAT or not. To the extent that a Bidder proposed to include any pricing not reflected in the table below, such pricing must be fully described in the proposal. Proposals must be submitted in US Dollars (USD), payments under any resulting contract will be made in this currency. IRI reserves the right to choose one company for both services or different companies for each of the sixteen (16) focus group discussions depending on the information received.

Unit/Deliverable	Unit Price	Number of Units	Total

Evaluation and Award Process

1. IRI may contact any Bidder for clarification or additional information, but Bidders are advised that IRI intends to evaluate the offers based on the written proposals, without discussions, and reserves the right to make decisions based solely on the information provided with the initial proposals. IRI may but is not obligated to conduct additional negotiations with the most highly rated Bidders prior to award of a contract and may at its sole discretion elect to issue contracts to one or more Bidders.
2. Mathematical errors will be corrected in the following manner: If a discrepancy exists between the total price proposed and the total price resulting from multiplying the unit price by the corresponding amounts, then the unit price will prevail and the total price will be corrected. If there is a discrepancy between the numbers written out in words and the amounts in numbers, then the amount expressed in words will prevail. If the Bidder does not accept the correction, the offer will be rejected.
3. IRI may determine that a proposal is unacceptable if the prices proposed are materially unbalanced between line items or sub-line items. Unbalanced pricing exists when, despite an acceptable total evaluated price, the price of one or more contract line items is significantly overstated or understated as indicated by the application of cost or price analysis techniques. A proposal may be rejected if IRI determines that the lack of balance poses an unacceptable risk.
4. IRI will conduct a source selection based as follows:

IRI intends to make an award to the responsible Bidder based on the following evaluation factors:

- a) Technical evaluation, (including technical capabilities, proposed technical approach, and personnel qualifications) – 20 percent
- b) Methodology for selecting focus group participants – 20 percent
- c) Methodology for conducting focus group discussions – 20 percent
- d) Sample of previous group discussions results/reports – 20 percent
- e) Price – 20 percent

IRI intends to evaluate Bidders' proposals in accordance with these factors and make an award to the responsible Bidder whose proposal is most advantageous to the program.

5. If a cost realism analysis is performed, cost realism may be considered in evaluating performance or price.

Submission Instructions

Bids must be submitted via email to Vitaliy Sharlai, at vsharlai@iri.org with the subject line "EURASIA2020U24o RFP" by the deadline listed above.

RFP Terms and Conditions

1. Prospective Bidders are requested to review clauses incorporated by reference in the section "Notice Listing Contract Clauses Incorporated by Reference".
2. IRI may reject any or all proposals if such is within IRI's interest.
3. Proof of costs incurred, such as but not limited to receipts, pictures and financial documents, may be requested during and for up to three years after the end of the contract period.
4. The Bidder's initial proposal should contain the Bidder's best offer.
5. Payment will be made upon receipt of invoices and deliverables/services.
6. Discussions with Bidders following the receipt of a proposal do not constitute a rejection or counteroffer by IRI.
7. IRI will hold all submissions as confidential and submissions shall not be disclosed to third parties. IRI reserves the right to share proposals internally, across divisions, for the purposes of evaluating the proposals.
8. For any currency conversion, the exchange rate to US Dollars listed on oanda.com on the closing date of this solicitation shall be used.
9. Every contract will contain provisions governing termination for cause and termination for convenience.
10. By submitting a proposal, offeror agrees to comply with all terms, conditions, and provisions included in the solicitation and agreement to the services identified above, and will specifically identify any disagreement with or exceptions to the terms, conditions, and provisions.
11. Offerors confirm that the prices in the proposal/proposal/application/quote have been arrived at independently, without any consultation, communication, or agreement with any other Bidder or competitor for the purpose of restricting competition.
12. If IRI continues to require the goods and services and the price remains reasonable and within market norms, resulting contract may be renewed each year for up to 5

years with 30 days' notice to the Contractor. Bidder must establish any price increase for each renewal year in the initial bid.

13. By applying to this RFP, applicant is certifying that if it is awarded a contract, none of funds payable under the resulting contract will be used to (1) procure or obtain, extend or renew a contract to procure or obtain; (2) enter into a contract (or extend or renew a contract) to procure; or (3) obtain the equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system in compliance with the National Defense Authorization Act. Covered telecommunications equipment and services mean any of the following:
 - i. Telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
 - ii. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
 - iii. Telecommunications or video surveillance services provided by such entities or using such equipment.
 - iv. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country. ([2 CFR 200.216](#)).
14. Bidders agree to disclose as part of the proposal submission:
 - Any close, familial, or financial relationships with IRI staff and agents. For example, the Bidder must disclose if a Bidder's mother conducts volunteer trainings for IRI.
 - Any family or financial relationship with other Bidders submitting proposals. For example, if the Bidder's father owns a company that is submitting another proposal, the Bidder must state this.
 - Any other action that might be interpreted as potential conflict of interest.

Notice Listing Contract Clauses Incorporated by Reference

IRI is required to make the contractor subject to certain flowdown clauses of the prime award. This awarded contract will incorporate one or more clauses by reference, with the same force and effect as if they were given in full text. Where "flow-down" to the contractor is applicable, references to "USAID/Department of State" shall be interpreted to mean "IRI", "Recipient" to mean "Contractor", and "Subrecipient" to mean "lower-tier subcontractor." Included by reference are the applicable provisions contained in Appendix II to 2 CFR Part 200 and USAID Standard Provisions for Non-US Non-governmental Organizations/US Department of State Standard Terms and Conditions.

IRI Obligations

Issuance of this RFP does not constitute an award commitment on the part of IRI, nor does it commit IRI to pay for costs incurred in the preparation and submission of a proposal or quotation.

Required Certifications

The following certificates need to be signed by all Bidders. These certifications are an integral part of the quotation/proposal. Please print them off and send back to us with your proposal after signature on each certificate. They are:

- Certification regarding debarment, suspension, ineligibility and voluntary exclusion lower tier covered transactions
- Authorized Individuals
- Certification Regarding Lobbying

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION LOWER TIER COVERED TRANSACTIONS

This certification implements Executive Order 12549, Debarment and Suspension and the requirements set forth in 2.C.F.R. 180, Subpart C.”

Copies of the regulations may be obtained by contacting the person to which this proposal is submitted.

1. By signing and submitting this proposal/application/quote, the prospective lower tier participant is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal/application/quote is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549.
5. The prospective lower tier participant agrees by submitting this proposal/application/quote that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the IRI.
6. The prospective lower tier participant further agrees by submitting this proposal/application/quote that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 above, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a

person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Signature: _____
Date: _____
Name: _____
Title/Position: _____
Entity Name: _____
Address: _____

Authorized Individuals

The offeror/bidder/applicant represents that the following persons are authorized to negotiate on its behalf with IRI and to bind the recipient in connection with this procurement:

Name	Title	Telephone	Email

Signature: _____

Date: _____

Name: _____

Title/Position: _____

Entity Name: _____

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal Cooperative Agreement, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned must complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.
3. The undersigned must require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients must certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, United States Code. Any person who fails to file the required certification will be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: _____
Date: _____
Name: _____
Title/Position: _____
Entity Name: _____
Address: _____